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- Optical mixer and parametric up-converter.
- An optical mixer (10) for producing sum-frequency radiation from radiation from at least two laser inputs (20) includes a waveguide (12) disposed on a ferroelectric substrate (11). In particular, preferred embodiments of the inventive mixer (10) include a proton exchange or a Ti diffused lithium niobate channel waveguide (12) embedded in a fith-um niobate channel waveguide (12) embedded in a fith-um niobate substrate (11).

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### OPTICAL MIXER AND PARAMETRIC UP-CONVERTER

### Technical Field of the Invention

The present invention pertains to optical mixers and optical parametric up-converters and, in particular, to integrated optical mixers and optical parameteric up-converters which utilize a channel wavequide.

#### Background of the Invention

Optical mixers are well known in the art. Such apparatus typically depend on the physical phenomenon known as optical nonlinear mixing in bulk crystals. Further, it is also well known that due to the small value of the coupling coefficients for this process, such nonlinear mixing normally requires high power laser beams for reasonably efficient output. As such, it would be desirable to achieve optical mixing in an apparatus which uses relatively lower power laser sources such as laser diodes!

Further, harmonic generation using integrated optical devices has long been a subject of interessinvestigation in the art. In particular, the high power densities afforced by single mode awerguides in materials such as LiMbO, have led to many attempts to produce efficient devices that could operate at low input optical power. As a result, it would be desirable to achieve optical mixing and harmonic generation in an integrated optical device and, additionally, to achieve this by use of relatively lower power laser sources such as laser diodes.

Still further, it would be desirable to achieve operating parameteric up-conversion using an integrated optic device, parametric up-conversion being defined here as that process whereby a first relatively weak beam of optical radiation is samplified by coincidental application of a second, stronger beam of radiation to produce a relatively stronger beam of radiation than the first beam which retains the information content of the first beam.

### Summary of the Invention

Embodiments of the present invention provide optical mixing, optical harmonic generation and optical parameteric up-conversion in integrated optics devices and, in particular, in waveguides disposed

### on ferroelectric substrates.

A preferred embodiment of an inventive optical mixer and harmonic generator provides optical sum-frequency generation and second harmonic generation in proton exchanged LiNbO3 and LiTaO3 channel waveguides. More specifically: a preferred embodiment of the present invention provides: (1) yellow sum-frequency radiation as a result of simultaneously cw coupling radiation from a Nd:YAG laser operating at 1.32 um and from second Nd:YAG laser operating at 1.06 um into a proton-exchanged LiNbO2 channel waveguide embedded in a LiNbO3 substrate and (2) blue sumfrequency radiation as a result of simultaneously cw coupling radiation from a cw laser diode operating at 0.84 um and from a Nd:YAG laser operating at 1.06 um into a proton-exchanged LiNbO3 channel waveguide embedded in a LiNbO3 substrate. The above-identified apparatus also produces secand harmonic radiation which is separately generated from the two input laser beams in addition to the sum-frequency radiation. Both the sum-frequency radiation and the second harmonic radiation emerge from the waveguide as Cerenkov radiation and enter the substrate within which the channel wavequide is embedded.

Advantageously, embodiments of the present invention can provide a tunable source of laser radiative in an integrated optic device if one of the input sources used to irradiate the channel waveguide is a tunable laser such as a dys laser, or attensitively, a current tuned semiconductor laser. Further, tunable operation can also be achieved in other embodiments of the present invention wherein several lasers having slightly different frequencies are occupied into the channel waveguide by bulk optics or by use of integrated optic ouplers. The tunable output is achieved by sum-frequency generation when the individual lasers are switched on or off Individually or in groups.

Embodiments of the present invention also provide optical parameteric up-conversion in an integrated optic device at the relatively low powers afforded by cw diode lasers. This can occur when one of the laser inputs is, for example, from a low power laser diode, in this case, the sum-frequency radiation produced in conjunction with a second, and more powerful, laser will retain the "information" content of the lower power radiation from the diode.

# Brief Description of the Drawing

A complete understanding of the present invention may be gained by considering the following detailed description in conjuction with the accompanying drawing, in which:

FIG. 1 shows, in pictorial form, a portion of an embodiment of an optical mixer labricated in accordance with the present invention; and

FIG. 2 shows, in pictoral form, a top view of a portion of a further embodiment of an optical mixer fabricated in accordance with the present invention.

To facilitate understanding, identical reference numerals are used to designate elements common to the figures.

## Detailed Description

FIG. 1 shows an embodiment of the inventive optical sum-frequency generation apparative optical sum-frequency generation apparative apparative 10. Apparativs 10 comprises LiNbO<sub>3</sub> substrate 11 and proton exchanged LiNbO<sub>3</sub> channel waveguide 12. Input beam 20 comprises relation from a first laser source (not shown) at wavelength in and radiation from a second laser source (not shown) at wavelength in 2—we have chosen in > is,. The radiation from the first and second laser sources are coupled into channel waveguide 12 by means of any one of a number of differents methods, such methods and the apparatus for achieving such coupling being well known to those of ordinary skill in the art.

As shown in FIG. 1, three beams exit channel waveguide 12 and enter substrate 11: (1) beam 30 having wavelength I+ 2 is provided as a result of second harmonic generation from the output of the first laser source and is forward radiated at a shallow angle into substrate 11 as Cerenkov radiation: (2) beam 40 having a wavelength corresponding to sum-frequency generation from the outputs of the first and second laser sources is forward radiated at a shallow angle into substrate 11 as Cerenkov radiation; and (3) beam 50 having wavelength b-2 is provided as result of second harmonic generation from the output of the second laser source and is forward radiated at a shallow angle into substrate 11 as Cerenkov radiation. In this embodiment, we have produced ~25 uW of yellow sum-frequency radiation by simultaneously coupling 5mW of cw radiation from a Nd:YAG laser operating at 1.32 um and 8 mW of cw radiation from a Nd:YAG laser operating at 1.06 um into waveguide 12. The combined power produced from second harmonic generation and from sum-frequency radiation in red

and yellow was "0.05 uW in addition, we were able to produce blue radiation as a result of sumfrequency generation by simultaneously coupling 1 mW of ow radiation from a laser dioce operating at 0.840 um and 8 mW of cw radiation from a Nd:YAG laser operating at 1.05 um into waveguide 12.

Sum-frequency generation means that if w. is the frequency of the first laser source, then  $w_m = w_1 + w_2$  is the frequency of the second laser source, then  $w_m = w_1 + w_2$  is the frequency of the sum-frequency generation rediation. Thus, as a result of sum-frequency generation, a mixed, nonlinear polarization will radiate at an intermediate wavelength there 1,1 is approximately equal to 5.11 + 11 is,

Since the wavelength of the sum-frequency radiator is intermediate to the wavelengths of the two sourcess, the emission angle thereof from waveguide 12 and into substrate 11 is also intermediate to the second radiation from the first laser source and the second laser source.

In fabricating the above-described embodiment one can use either x-cut substrates and fabricate channel waveguides oriented along the y-axis or zcut substrates and fabricate channel wavequides oriented along the x-axis, the y-axis, or any other intermediate orientation. For example, the x-cut LiNbO3 substrates were prepared by proton exchange in hot benzoic acid. The process included the following steps: (1) and "400 angstrom thick layer of Cr was deposited on the x-cut substrate using standard evaporation techniques; (2) next, an 3 um wide diffusion aperture was photolithographically opened through the Cr layer parallel to the y-axis; (3) next, the substrate was packed in a glass flask with powdered benzoic acid: (4) next. the glass flask was immersed in a heating bath and heated to 249°C in 20 minutes; (5) next, the glass flask was held in the bath at 249 °C for "5 minutes -- During this hot immersion step, an ion exchange process occurs in which lithium from the substrate is lost through the diffusion aperture and replaced with hydrogen ions from the acid. As a result, the ion exchanged region experiences an increase in extraordinary index of refraction which extends roughly 1 micron in depth into the substrate: (6) next, the glass flask was lifted above the heating bath and allowed to cool. -- This process provides a > 50% exchange of H for Li and produces a multimode waveguide in the .800 micron wavelength region. The above-described proton exchange process has been discussed extensively in the literature and is well known to those of ordinary skill in the art; (7) next, the diffusion masks are removed; and (8) the specimen was cut and polshed for end-fire excitation. The length of the waveguide varied between 5-10 mm. We have used substrates obtained from Crystal Technology.

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Inc. which are of so-called "optical grade quality."

As described above, for end-fire TE mode excitation, the Cerenkov second harmonic and the Cerenkov sum-frequency radiation is forward radiated at a shallow angle from channel waveguide 12 and into substrate 11. The radiation then exits the output facet of substrate 11 and appears as bright bands in the far field.

Further embodiments of the present invention comprise Tiditused LiNbO<sub>2</sub> averaguides. We have fabricated such channel waveguides, for example, by petterning 400-800 angstrom thick, 2-8 microw ide Ti stripes parallel to the y-exis on x-cut LiNbO<sub>2</sub> plates. We carried out the Ti diffusion in a funcac at "1020" of for six hours in an atmosphere of flowing wet O<sub>2</sub>. As a result, TiO<sub>2</sub> diffused into the LiNbO<sub>3</sub> substrate to form a cnamer waveguide.

Still further embodiments of the present invention comprise proton exchange LiTaO3 channel waveguides. Such waveguides were fabricated from x-cut LiTaO3 plates in accordance with the following steps: (1) an "400 angstrom thick layer of Or was desposited on the substrate using standard evaporation techniques; (2) next, an 73 um wide diffusion aperture was photolithographically opened through the Cr laver parallel to the y-axis; (3) next, the substrate was packed in a glass flask with powdered benzoic acid; (4) next, the glass flask was immersed in a heating bath and heated to 249 °C in 20 minutes; (5) next, the glass flask was held in the bath at 249 °C for "7 hours: (6) next, the substrate was post-baked in flowing oxygen at 500 °C for 5 hours; (7) next, the diffusion masks are removed; and (8) the specimen was cut and polished for end-fire excitation. The length of the waveguide varied between 5-10 mm.

Advantageously, the embodiments of the inventive device can act as a tunable source of radiation if one of the input laser sources is a tunable laser such as a dye laser, or alternatively, a current tuned semiconductor laser.

Further embodiments of the present invention comprise several laser sources, each having a slightly different frequency, being coupled into waveguide 11 by means of bulk optics or, as shown in FIG. 2, by means of integrated optic couplers. FIG. 2 shows a top view of channel waveguide 11 which is embedded in ferroelectric substrate 12. Input beam 60 comprises radiation from a first laser source (not shown) at frequency w. which is coupled into waveguide 11. Input beam 61 comprises radiation from a second laser source (not shown) at frequency we and input beam 62 comprises radiation from a third laser source (not shown) at frequency wa. Beams 61 and 62 are coupled into waveguides 13 and 14. respectively. Radiation coupled into guides 13 and 14 is subsequently coupled into waveguide 11. As a result of

the above-described mixing phenomenon, the output radiation from substrate 11 comprises: (1) second harmonic radiation from each of the modes of the individual laser sources which propagate in waveguide 11 and (2) sum-frequency radiation from the modes of the individual laser sources which propagate in waveguide 11. Thus, as a result of second harmonic generation and sum-frequency generation, radiation exits substrate 12 at the following frequencies: 2w., 2w2, 2w3, w. + w3, w. + wa, and wa + wa, If any of the input beams, for example, beam 62, is cut off, then the corresponding outputs will be missing. Consequently, the outout from such a device is made discretely tunable by switching the varius laser sources on or off individually or in groups.

Embodiments of the present invention may also be used as a parametric up-converter. This occurs, for example, if one of the laser inputs is a laser diode whose power is reduced to just over threshold for lasing. In such a case, the corresponding Cerenkov second harmonic radiation would not be present do to the lack of necessary power to drive the nonlinear reaction. Nevertheless, by supplying a sufficiently strong second laser source one can still drive the nonlinear reaction responsible for producing the sum-frequency radiation. In this manner one has "up-converted" the weak laser diode signal to provide a relatively strong sum-frequency radiation signal. Thus, for example, one can achieve blue source generation even with a low power, e.g., 1-5 milliwatt laser diode source, provided a strong cw pump source. for example, in this case, a Nd:YAG laser, is available. Alternatively, the pump or "up-converter" laser could also be one of the common, and now relatively inexpensive, diode lasers which produce approximately 40 milliwatts cw.

Clearly, those skilled in the art recognized that further embodiments of the present invention may be made without departing from its teachings. Therefore, it is intended that all matter contained in the above description or shown in the accompanying drawings shall be interpreted as illustrative and I limiting. For example, although a lithium nichate channel waveguide provides mixing and parametric up-conversion which allows production of a coherent buts esource, schole of different lasers to act as sources enables the range of parametrically generated bulle light to be selected.

#### Claims

An optical device comprising:

a waveguide disposed on a ferroelectric substrate and at least one source of laser radiation. the laser radiation being coupled into the waveguide to provide output radiation as a result of nonlinear optical interactions.

- The optical device of claim 1 wherein the waveguide comprises a ferroelectric material.
- The optical device of claim 2 wherein the substrate comprises lithium niobate.
- The optical device of claim 2 wherein the substrate comprises (ithlium tantalate.
- The optical device of claim 3 wherein the waveguide comprises a proton exhange lithium niobate channel waveguide.
- The optical device of claim 3 wherein the waveguide comprises a Ti diffused fithium niobate channel waveguide.
- The optical device of claim 4 wherein the waveguide comprises a proton exchange lithium tantalate channel waveguide.
- The optical device of claim 4 wherein the waveguide comprises a Ti diffused lithium tantalate channel waveguide.
- The optical device of claim 1 wherein at least one of the sources is a tunable source of laser radiation.
- The optional device of claim 1 wherein the power of laser radiation coupled into the waveguide from at least one of the sources may varied.
- 11. The optical device of claim 10 wherein the range of power variation includes zero power.

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